



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Nicholas Paul MacMillan et al.

Serial No. 10/765,953

Filed: January 29, 2004 : Examiner:

For: VALVES AND SUCTION

CATHETER ASSEMBLIES

Atty Docket: 0119/0032

Art Unit:

FILED PURSUANT TO 37 CFR 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

For insuring compliance with the applicants' duty of disclosure under 37 CFR §1.56, the undersigned hereby submits the attached Form PTO-1449 listing references for consideration by the Examiner in charge of the above-identified patent application. Pursuant to the PTO Waiver Notice dated July 11, 2003, U.S. patents and published applications listed on the PTO-1449 need not be attached to applications filed after June 30, 2003. Accordingly, no such documents as cited in the attached PTO-1449 form are attached. However, if the examiner requires copies any such documents, the examiner is respectfully requested to contact the undersigned. All foreign art and/or publications listed are attached herewith for the examiner's consideration.

These documents are being submitted (check only one of the next four boxes):

- within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due); (37 CFR §1.97(b))
- [XX] before receiving a first Office Action on the merits of the above-identified patent application (no fee is due); (37 CFR §1.97(b))
- following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, one of the last three boxes also must be checked); (37 CFR §1.97(c))

<u>OR</u>

- following receipt of a Notice of Allowance or a Final Office Action.
 The undersigned hereby requests consideration of this Information
 Disclosure Statement after the mailing the Final Office Action or
 Notice of Allowance by filing this Information Disclosure Statement
 under 37 CFR §1.97(d)
- The Commissioner is authorized to debit \$180.00 for payment of the fee set forth in 37 CFR § 1.17(p). (37 CFR §1.97(d)(2))
- [XX] The undersigned certifies that each item of this information is being submitted within three months of the date it was cited by the European Patent Office and the British Patent Office in counterpart applications. (37 CFR §1.97(e)(1))
- The undersigned certifies that, after making reasonable inquiry, he/she has no knowledge that any item of this information was cited by a foreign patent office in a counterpart application or was known more than three months prior to this submission. (37 CFR §1.97(e)(2))

The Commissioner is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 50-0501. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,

Louis Woo, Reg. No. 31,730

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AUG 13 2004 200	FORM PTO-1449	
INFORMAT	TION DISCLOSURE CITATION	į

Atty Docket 0119/0032	Serial No. 10/765,953				
Applicant Nicholas Paul MacMillan et al.					
Filing Date January 29, 2004	Group Art Unit 3763				

			U.S. PATEN	T DOCUMENTS			<u> </u>
Examiner Initial		Document Number	Date	Name	Class	Sub- Class	Filing Date
	AA	4,430,373	02/07/1984	Bemis et al.			05/03/1982
	AB	3,146,987	09/01/1964	Krayl			06/27/1961
	AC	2002/0063230	05/30/2002	Cavagna			07/24/200°
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	AK						
		F	OREIGN PAT	ENT DOCUMENTS			
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	AL	WO 99/58186	11/18/1999	PC			
	АМ	24 55 260	05/26/1976	DE			
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	AN	2 274 901	08/10/1994	GB			
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	AO				ges, etc.)		
	AO			GB itle, Date, Pertinent Pa	ges, etc.)		
	AO AP				ges, etc.)		

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.

Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to Applicant.

Examiner